

Assistant Commissioner for Patents
Washington, DC 20231
ATTN: BOX UTILITY APPLICATION

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Docket No.: 00-508
Date: November 8, 2000

Sheet 1 of 2

U.S. Express Mail Label No.: EL661474385US

Inventor Name(s):

CHRISTOPHER L. AARDAHL; MARI LOU BALMER; ASHOK (NMI) CHANDA;
CRAIG F. HABEGER; KENT A. KOSHKARIAN; AND PAUL W. PARK

Title:

METHOD AND SYSTEM FOR THE COMBINATION OF NON-THERMAL
PLASMA AND METAL/METAL OXIDE DOPED γ -ALUMINA CATALYSTS FOR
DIESEL ENGINE EXHAUST AFTERTREATMENT SYSTEM

- ☒ Fee Transmittal Form Attached in Duplicate
- ☒ Specification and Claim(s) [Total Pages

☒ Drawing(s) [Total Sheets

Declaration

[Total Pages]

- ☐ Newly Executed (Original or Copy)
- ☐ Copy From Prior Application (37 CFR § 1.63(d))
 - ☐ Deletion of Inventor(s) (37 CFR § 1.63(d)(2))
(Signed Statement Attached)
- ☐ Assignment Papers (Cover Sheet and Document(s))
- ☐ 37 CFR § 3.73(b) Statement (if applicable) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449
 - ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (Specifically Itemized)
- ☐ Certified Copy of Priority Document(s)
- ☐ Other

--Continue Next Page--

JC825 U.S. PTO
 09/708863

 11/08/00

If a Continuing Application

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)

of prior Application No.: _____ ;

Examiner : _____ ; and

Group/Art Unit: _____ .

☐ Cancel Claims: _____

For Continuations or Divisional Applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied, as set forth above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

Correspondence Address:

☒ Customer Number or Bar Code Label: _____ 719

or

☐ Correspondence address below:

Name:

Address:

City:

State:

Zip Code:

Country:

Attorney/Agent: O. Gordon Pence

Registration No.: 27,669

Signature: *O. Gordon Pence*
Caterpillar Inc.

Date: 11/8/00

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 710

Complete if Known

Application Number (Unassigned)
Filing Date (Herewith)
First Named Inventor CHRISTOPHER L. AARDAHL ET AL.
Examiner Name (Unassigned)
Group / Art Unit (Unassigned)
Attorney Docket No. 00-508

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 03-1129

Deposit Account Name

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	690	201	345			Utility filing fee	710
106	310	206	155			Design filing fee	
107	460	207	240			Plant filing fee	
108	690	208	345			Reissue filing fee	
114	150	214	75			Provisional filing fee	
SUBTOTAL (1) (\$)							710

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20	20**	0	0
2	2-3**	0	0
Multiple Dependent			

*or number previously paid, if greater; For Reissues, see below

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9			Claims in excess of 20
102	78	202	39			Independent claims in excess of 3
104	260	204	130			Multiple dependent claim, if not paid
109	78	209	39			** Reissue independent claims over original patent
110	18	210	9			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65			Surcharge - late filing fee or oath	
127	50	227	25			Surcharge - late provisional filing fee or cover sheet	
139	130	139	130			Non-English specification	
147	2,520	147	2,520			For filing a request for reexamination	
112	920*	112	920*			Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action	
115	110	215	55			Extension for reply within first month	
116	380	216	190			Extension for reply within second month	
117	870	217	435			Extension for reply within third month	
118	1,360	218	680			Extension for reply within fourth month	
128	1,850	228	925			Extension for reply within fifth month	
119	300	219	150			Notice of Appeal	
120	300	220	150			Filing a brief in support of an appeal	
121	260	221	130			Request for oral hearing	
138	1,510	138	1,510			Petition to institute a public use proceeding	
140	110	240	55			Petition to revive - unavoidable	
141	1,210	241	605			Petition to revive - unintentional	
142	1,210	242	605			Utility issue fee (or reissue)	
143	430	243	215			Design issue fee	
144	580	244	290			Plant issue fee	
122	130	122	130			Petitions to the Commissioner	
123	50	123	50			Petitions related to provisional applications	
126	240	126	240			Submission of Information Disclosure Stmt	
581	40	581	40			Recording each patent assignment per property (times number of properties)	
146	690	246	345			Filing a submission after final rejection (37 CFR § 1.129(a))	
149	690	249	345			For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____							
Other fee (specify) _____							
* Reduced by Basic Filing Fee Paid							
SUBTOTAL (3) (\$)							0

SUBMITTED BY

Name (Print/Type) O. Gordon Pence
Signature *O. Gordon Pence*

Registration No. (Attorney/Agent) 27,669

Complete if applicable

Telephone (309) 675-4460
Date 11/08/2000

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Description

METHOD AND SYSTEM FOR THE COMBINATION OF NON-THERMAL
PLASMA AND METAL/METAL OXIDE DOPED Y-ALUMINA CATALYSTS
FOR DIESEL ENGINE EXHAUST AFTERTREATMENT SYSTEM

5

This invention was made with Government support under DOE Contract No. DE-AC06-76RLO 1830 awarded by the U.S. Department of Energy. The Government has certain rights to this invention.

10

Technical Field

This invention relates generally to a system and method for treatment of lean exhaust, such as diesel exhaust and lean burn gasoline engine exhaust, to reduce nitrogen oxide (NO_x) emissions and more specifically to a method and system that combines non-thermal plasma with a metal doped Y-alumina catalyst for NO_x reduction.

15

20

Background Art

The catalytic converters, especially three-way catalysts, used in combination with modern gasoline engines have resulted in a great reduction in tailpipe emissions of carbon monoxide, hydrocarbons and NO_x. There is an increasing emphasis on fuel efficiency and diesel engines have an efficiency advantage over gasoline engines. Unfortunately, diesel engines are "lean burn" engines and their exhaust has very high levels of oxygen. These high levels of oxygen render gasoline catalytic converters useless for NO_x reduction. Catalysts or catalytic

25

30

systems that have been utilized for lean burn engines to date suffer from low removal of NO_x , low thermal stability and a limited temperature window of operation. Most current catalytic systems for lean
5 burn engines only achieve 7-12% NO_x reduction as a passive system and 25-40% when supplemental hydrocarbon reductant is injected into the exhaust stream. Use of a non-thermal plasma system for reduction of NO_x in conjunction with a particulate trap
10 is disclosed in U.S. Patent No. 6,038,854 issued March 21, 2000 to Penetrante et al.

The present invention is directed to overcome one or more of the problems as set forth above.

15 Disclosure of the Invention

In one aspect of this invention, there is disclosed a method for nitrogen oxide (NO_x) reduction in an oxygen rich engine exhaust comprising the steps
20 of passing an oxygen rich exhaust through a non-thermal plasma thereby converting nitrogen oxide (NO) and hydrocarbons in the oxygen rich exhaust into nitrogen dioxide (NO_2) and oxidized hydrocarbons and forming an intermediate exhaust; and then passing the
25 intermediate exhaust over at least one metal doped Y-alumina, wherein the Y-alumina has been prepared with a pore volume of greater than 1.0 cubic centimeters per gram, thereby converting the NO_2 to nitrogen (N_2).

In another aspect of the invention, it is an
30 exhaust treatment system for nitrogen oxide reduction in lean burn engines comprising a non-thermal plasma in the exhaust system that is adapted to receive an

oxygen rich exhaust, the non-thermal plasma converting the nitrogen oxide (NO) and hydrocarbons in the oxygen rich exhaust into nitrogen dioxide (NO₂) and oxidized hydrocarbons, and a catalytic unit operably connected
5 to the exhaust system and adapted to receive the oxygen rich exhaust after the non-thermal plasma, the catalytic unit comprising at least one metal doped Y-alumina, wherein the Y-alumina has a pore volume of at least 1.0 cubic centimeters per gram and the metal
10 comprises indium, tin, gallium, silver, gold, copper, cobalt, iron, cerium, or mixtures thereof.

Brief Description of the Drawings

Fig. 1 is a block diagram of a machine
15 embodiment of the present invention.

Fig. 2 shows the reduction of NO to N₂ over various alumina samples.

Fig. 3 shows the reduction of NO₂ to N₂ over the alumina samples used in Fig. 2.

20 Fig. 4 shows the reduction of NO to N₂ over various catalyst samples.

Fig. 5 shows the reduction of NO₂ to N₂ over the various catalyst samples of Fig. 4.

Fig. 6 shows the reduction of NO to N₂ over
25 indium doped Y-alumina at various indium levels.

Fig. 7 shows the reduction of NO₂ to N₂ over the indium doped Y-alumina samples of Fig. 6.

Fig 8 shows the reduction of NO to N₂ over tin doped Y-alumina at various tin levels.

30 Fig. 9 shows the reduction of NO₂ to N₂ over the tin doped Y-alumina samples of Fig. 8.

Fig 10 shows the reduction of NO to N₂ over gallium doped Y-alumina at various gallium levels. Fig. 11 shows the reduction of NO₂ to N₂ over the gallium doped Y-alumina samples of Fig. 10.

5 Fig. 12 shows the reduction of NO_x to N₂ in a plasma assisted catalytic system designed according to the present invention in the presence and absence of SO₂.

10 Best Mode For Carrying Out The Invention

In Fig. 1 a block diagram of a machine embodiment of the present invention is shown generally at 10. A fuel tank 20 delivers fuel to a "lean burn" engine 22. Throughout the specification and the
15 claims a lean burn engine is defined as one that produces an oxygen rich exhaust, which is defined as an exhaust having a higher molar ratio of oxygen than the total molar ratio of reductive compounds such as CO, hydrogen and hydrocarbons. The exhausts have an
20 oxidative environment. Examples of such engines include diesel engines and various lean burn gasoline engines. Generally, these systems generate an exhaust having an oxygen content of from 4 to 16 % depending on the load conditions and the running mode of the
25 engine. An oxygen rich exhaust exits engine 22 and is directed to pass through a non-thermal plasma 26. Preferably, this exhaust is supplemented, prior to treatment in the non-thermal plasma, by the addition of an atomized hydrocarbon or mixture of hydrocarbons
30 24. One source of these hydrocarbons can be the diesel fuel from the fuel tank 20. Alternatively, as

is known in the art, the supplemental hydrocarbon source can be other hydrocarbons other than the fuel.

Generation of a non-thermal plasma 26 is well known in the art and will not be described in detail. In brief, a non-thermal plasma is created by connecting an alternating current voltage source to a pair of spaced apart electrodes having a dielectric barrier located in the space between them. In a typical system one of the electrodes is formed by a rod located in the center of an exhaust tube and the exhaust tube forms the other electrode. Preferably the alternating current voltage source generates a current of approximately 10 to 30 kilovolts. Because of the speed of the cycling of the alternating current voltage an electron and ionized gas cloud is created in the space between the two electrodes. The non-thermal plasma in the presence of oxygen and hydrocarbons will convert NO_x into NO_2 plus oxygenated hydrocarbons such as alcohols, aldehydes, and ketones. This generates an intermediate exhaust, which contains NO_2 , oxygenated hydrocarbons, unreacted hydrocarbons, and oxygen. In the non-thermal plasma 26 up to 95% of the NO_x is converted to NO_2 .

The intermediate exhaust is directed to a catalytic unit 28. Deposited within the catalytic unit is a metal doped Y-alumina catalyst. The composition of this metal doped Y-alumina catalyst is described more fully below. In the catalytic unit 28 a substantial portion of the NO_2 is converted to the reaction products of N_2 , carbon dioxide, and water. The conversion products leave the catalytic unit as a cleaned exhaust 30.

Formation of Y-alumina by a sol gel procedure

It has been found in the present invention that Y-alumina formed by a sol gel method produces an alumina having unique properties for utilization in
5 plasma-assisted catalysis (PAC) of oxygen rich exhaust. The Y-alumina was prepared by a complexing agent-assisted sol gel method.

In the sol gel method aluminum isopropoxide (AIP) (98+%, Aldrich Chemical Company, Inc.) was
10 dissolved in 2-methyl-2,4-pentandiol (MPD) (99% Aldrich Chemical Company, Inc.). First, 500 g AIP was placed in a 2-liter glass beaker and then 600 milliliters of MPD was added immediately and the mixture was stirred vigorously with a mechanical
15 polyethylene stirrer. Once the mixture was homogeneous, the beaker was placed in a constant temperature bath at a range of from 110 to 130°C and stirred continuously. After approximately 1 hour of stirring, all of the AIP was dissolved in the MPD. As
20 the AIP reacts with the MPD, it produces 2-propanol which is released as a vapor at these temperatures. Gelation was completed after approximately 4 hours of reaction. Then 400 milliliters of water were added to stop the gelation and a white precipitate of aluminum
25 hydroxide was obtained. The aluminum hydroxide solid was redispersed in water and aged at a temperature of from 60 to 90°C overnight with constant stirring.

After aging overnight, 600 milliliters of 2-propanol was added to remove the water from the pores
30 of the precipitate. Although 2-propanol is preferred, any organic solvent having a surface tension of approximately equal to or less than 30 mN/m will be

useful. It is just necessary that the solvent have a surface tension significantly below that of water, which is approximately 72 mN/m. The resulting slurry was vacuum filtered and dried at approximately 90°C in an oven for 48 hours.

Calcination was carried out in a temperature programmed tube furnace with 5 L/min air flowing through it. A general calcination schedule is as follows: ramp the temperature from 100°C to 460°C at 1°C/min with air flowing through it; ramp the temperature from 460°C to 700°C at 1°C/min with 2% H₂O/air; 2 hours at 700°C with 6% H₂O/air; and turn off the heat and continue flowing air over the alumina until the temperature is reduced to 100°C.

This sol gel procedure resulted in Y-alumina (Al₂O₃) with a high surface area of approximately 200 to 230m²/g, a pore volume of from 1 to 2 cc/g, and a pore size of from 4 to 20 nm. Throughout the specification and claims the sol gel Y-alumina prepared according to the present invention was prepared as described above, the few exceptions are noted below.

Formation of metal doped Y-alumina

A metal doped Y-alumina prepared according to the present invention can be prepared in one of two ways. In one method, the subject metal is solubilized in the water that is used to stop gelation during the sol gel procedure described above. In a second method, the sol gel Y-alumina is doped with a metal by an incipient wetness method. In this method, the sol gel Y-alumina is brought into contact with a solution

of the appropriate metal. The solution of the metal is present in an amount equal to or greater than the total pore volume of the Y-alumina sample. The pore volume of Y-alumina prepared according to the present invention is generally from 1.0 to 2.0 cubic centimeters per gram.

To form a tin doped Y-alumina by the incipient wetness method an appropriate amount of SnCl_2 was dissolved in an ethanol solution and brought into contact with the sol gel Y-alumina. The tin doped Y-alumina catalyst was calcined at 600°C for 5 hours and then at 800°C for 2 hours.

An indium doped Y-alumina was prepared in the same manner using $\text{In}(\text{NO}_3)_3$ in a water solution instead of ethanol. The indium doped Y-alumina catalyst was calcined at 600°C for 5 hours.

A gallium doped Y-alumina was prepared by exposing the Y-alumina to a water solution of $\text{Ga}(\text{NO}_3)_3 \cdot x\text{H}_2\text{O}$ which was added to the aluminum oxide gel during the Y-alumina preparation in the sol gel method. The gallium doped Y-alumina catalyst was calcined at 600°C for 5 hours.

Catalytic performance tests

Reactor bench tests to characterize the catalytic performance of various samples were performed in a quartz micro tube reactor with a feed gas of 0.1% NO_x , 0.1% propene, 15% O_2 , and 10% H_2O balanced with He. The flow rate was approximately 200 cc/min, and approximately 0.2 grams of catalyst was used. These conditions correspond to a space velocity

of 30,000h⁻¹. The reaction products were analyzed by a gas chromatograph equipped with a 6' molecular sieve and a 6' Porapak Q column. The NO_x conversion was calculated from the amount of N₂ produced. No N₂O was detected in any of the experiments; thus, the N₂ yield corresponded to the NO_x conversion. The catalyst samples were pre-heated to 600°C in a flow of O₂ and He. Then the samples were exposed to the oxygen rich simulated exhaust of 0.1% NO_x, 0.1% propene, 15% O₂, and 10% H₂O balanced with He. In some experiments the level of oxygen varied, these changes are noted as appropriate below.

Surface area and pore volume measurements

The surface area, pore volume, and pore size distribution of various alumina samples were determined using a Micromeritics ASAP 2000 system. Approximately 0.2 to 2 grams of catalyst was out gassed under vacuum at 450°C overnight prior to adsorption measurements. The surface area was determined by multipoints measurement using several relative pressures of N₂ to He in the range of 0.06 to 0.2 (N₂ surface area 0.162 nm²) at 77 K.

Impurity analysis of alumina samples

The level of the impurity sulfate (SO₄) in various alumina samples was determined using ICP analysis. The ICP analysis was performed using a Jobin Yvon 48 instrument. The alumina samples were completely digested in acid (HF) and diluted with de-ionized water. The acid solution was then injected into the plasma and the instrument scanned the sample for all the elements including sulfur.

Thermal stability testing

The thermal stability of a Y-alumina prepared according to the above-referenced sol gel procedure was compared to various commercially available aluminas to determine whether its thermal stability were greater or less than these commercially available aluminas. To determine thermal stability surface area measurements for the various alumina samples were determined prior to and following hydrothermal aging tests. The aging test was performed in a 6" in diameter tube furnace set at 800°C for 15 hours with a 10% H₂O/air flow at 5 liters per minute. The results of these tests are shown below in Table 1. The Y-alumina sample, prepared in accordance with the present invention, exhibited the highest surface area following the hydrothermal testing and showed one of the lowest reductions in surface area. The Alcoa HiQ7214F showed the lowest surface area change, but this is believed to be due to its lower initial surface area. Thus, the Y-alumina of the present invention is thermally more stable than a number of commercial aluminas.

Table 1

Samples	Before Aging (m ² /g)	After Aging (m ² /g)	Surface Area Change (%)
Laroche (VGL-25)	280	146	48
W.R. Grace (MI-200)	237	126	47
Alcoa (DD447-165)	160	102	36
Alcoa (HiQ7214F)	146	118	19
Y-alumina	226	172	24

Fig. 2 shows the NO reduction to N₂ over the various alumina samples disclosed in Table 1 above.

5 The samples were exposed to a stream of 0.1% NO, 0.1% propene, 15% O₂, 10% H₂O at 200 cc/min at the indicated temperatures. The Y-axis is the percent conversion of NO to N₂ and the X-axis is the stream temperature.

Reference line 32 is the Y-alumina sample prepared by

10 the sol gel method; reference line 34 is the Grace MI-200 sample; and reference line 36 is the Alcoa DD447-165 sample. From Fig. 2 it can be seen that the Y-alumina prepared by the sol gel method shows both a

15 higher maximum NO conversion and a broader temperature window than the commercial aluminas. In addition, the Y-alumina sample showed higher NO conversion at lower temperatures.

In Fig. 3, the NO₂ reduction to N₂ over these same samples is shown. In this case the exposure was

20 the same except that the NO was replaced with 0.1% NO₂. Reference line 38 represents the Y-alumina prepared by

the sol gel method of the present invention; reference line 40 is the Grace MI-200 sample; and reference line 42 is the Aloca DD447-165 sample. As can be seen in Fig. 3, the Y-alumina also showed a higher NO_2 conversion than the commercial aluminas. The Y-alumina also showed the lowest T_{max} compared to the commercial aluminas.

The results shown in Figs. 2 and 3 indicate that the Y-alumina prepared by the sol gel method is more selective than commercial aluminas. By the term selective it is meant that the catalyst converts more NO_x to N_2 using less hydrocarbon. Also the Y-alumina converts NO_2 to N_2 to a higher extent than NO . This selectivity makes the sol gel Y-alumina particularly suitable for use in a PAC system.

Effect of alumina surface area, pore volume, pore size and sulfate impurity on the yield of N_2 from NO_2

Various alumina samples were obtained from commercial suppliers and in addition the sol gel method of the present invention was modified to generate Y-aluminas having a range of pore volumes.

The sample designated P091098 was prepared by the standard sol gel method with complexing agent as described above. Additional Y-aluminas according to the present invention were prepared with controlled pore structure without using a complexing agent. The procedure was to prepare a white slurry consisting of amorphous aluminum hydroxide by mixing aluminum isopropoxide with an excess of hot water at approximately 80°C under vigorous stirring conditions.

One-third of the slurry was washed with water and this sample was designated as P012299. Another third of the slurry was washed with 2-propanol and designated as P012299a. Washing with 2-propanol provided a
5 different surface tension on the Y-alumina during the drying step. Specifically because the surface tension of 2-propanol is smaller than water sample P012299a maintains its open pore structure resulting in a material containing a higher surface area, larger pore
10 volume and larger average pore size compared to the water-washed sample (P012299). To the final third of the slurry a small amount of nitric acid was added for peptization of the aluminum hydroxide to a clear sol. The clear sol solution was subsequently mixed with
15 500 milliliters of water. The redispersed sol solution was aged overnight at approximately 85°C. A glassy crystalline material was obtained and designated P012299b. This sample exhibited the smallest pore volume and the smallest pore size.

20 All of the Y-alumina samples prepared according to the present invention were dried at approximately 90°C overnight and calcined in a temperature-programmed furnace with a flowing air atmosphere. The furnace temperature was increased up
25 to 700°C at a ramp rate of 1°C/min and held at 700°C for 2 hours.

The surface area, pore volume, and average pore size of all the samples were measured using BET measurement as described above. The impurity level of
30 SO₄ was determined using ICP data as described above. Table 2 shows the results of the BET, ICP, and conversion of NO₂ to N₂ for the various alumina

samples. In Table 2 the samples are ranked according to their percent yield of N_2 from NO_2 . The top 8 samples have a sulfate impurity level of 0 with the exception of sample TA-1301E. This demonstrates the importance of reducing the sulfate impurity to obtain a catalytically active alumina. Of the top 8 aluminas shown in Table 2, the aluminas having larger pore volumes showed better NO_2 reduction activity than aluminas having relatively smaller pore volumes. Among the top 3 aluminas shown in Table 2 the surface areas of these aluminas varied by a factor of 3, however the NO_2 reduction performances of these aluminas were comparable. Thus, the surface area does not seem to affect the catalytic activity of the alumina.

Based on these results it is preferred that any Y-alumina produced by any method used for the subject invention have a pore volume of from 1.0 to approximately 2.0 cubic centimeters per gram, and a sulfate impurity level of less than or equal to 0.1% sulfate. The surface area can vary, but it is preferable that it be equal to or greater than 200 m^2/g . The Y-alumina can be prepared by any method such as precipitation in addition to the sol gel method so long as these physical characteristics are maintained. The results presented in Table 2 further reinforce the conclusion that the sol gel Y-alumina of the present invention is particularly suitable for use in a PAC system.

Table 2

Rank	Samples	Surface Area (m ² /g)	Pore Volume (cc/g)	Average Pore Size (nm)	Impurity SO ₄ (%)	N ₂ Yield from NO ₂ Reduction at 500°C (%)
1	P091098	230	1.1	14	0.0	60
2	P012299a	380	1.8	14	0.0	53
3	TA-1301E [†]	120	1.1	30	0.6	53
4	Catalox [°]	150	0.49	9	0.0	52
5	Puralox [°]	160	0.68	15	0.0	51
6	P012299b	240	0.28	4	0.0	49
7	Dispal [°]	80	0.62	27	0.0	42
8	P012299	250	0.42	5	0.0	41
9	MI-200 [†]	260	0.84	9	0.15	40
10	TA-2301E [†]	128	1.1	28	1.6	39
11	MI-307 HD [†]	270	0.70	8	0.31	37
12	MI-207 [†]	350	1.2	10	0.72	32
13	MI-307 [†]	170	0.77	14	0.2	27
14	MI-120 [†]	280	0.92	10	0.7	27
15	BK-112L [†]	280	0.45	5	0.0	26
16	MI-407 [†]	160	0.72	14	0.16	26
17	BK-112 [†]	320	0.2	3	0.0	23
18	MI-386 [†]	130	0.71	17	0.3	19

[†] W.R. Grace & Co., Columbia MD, USA; [†] Sumitomo Chemical Company Limited, Niihama-shi Ehime-ken, Japan; and [°] Condea Vista Company, Austin TX, USA.

In Fig. 4 metal doped Y-alumina samples prepared according to the present invention were compared to other known standard catalysts for their ability to reduce NO to N₂. All the samples were

exposed to a test gas of 0.1% NO, 0.1% propene, 9% O₂,
7% H₂O at 200 cc/min. Reference line 44 shows the
activity of a Pt/Fe ceria doped titanium silicalite
catalyst. Reference line 46 shows the activity of a
5 standard Cu-ZSM5 catalyst. Reference line 48 shows a
Y-alumina sample prepared by the sol gel method of the
present invention and doped with 2.5 weight percent
indium. Reference line 50 shows the activity of a Y-
alumina sample prepared by the sol gel method of the
10 present invention doped with 24 weight percent
gallium. As can be seen from Fig. 4 metal doped Y-
alumina catalysts prepared according to the present
invention are much more effective in reduction of NO
than are either Pt or Cu-ZSM5 catalysts in reducing
15 NO. Also the Pt catalyst produces a substantial
amount of N₂O, which is a greenhouse gas.

In Fig. 5 the same samples as in Fig. 4 are
shown for their ability to reduce NO₂ to N₂. The
reference lines 52-58 are, respectively, the Pt/Fe
20 ceria doped titanium silicalite catalyst, Cu-ZSM5
catalyst, 2.5 weight % indium doped Y-alumina, and 24
weight % gallium doped Y-alumina. All the conditions
are the same as for Fig. 4 except that the feed gas
include 0.1% NO₂ instead of NO. Again, it can be seen
25 that metal doped Y-alumina catalysts prepared
according to the present invention yield more N₂ than
either Pt or Cu-ZSM5 catalysts. This selectivity
makes the metal doped sol gel Y-alumina particularly
suitable for use in a PAC system.

30 In Figs. 6 and 7, the effect of various
doping levels of indium onto Y-alumina prepared

according to the present invention is shown. The metal doped Y-alumina samples were prepared as described above.

In Fig. 6 the ability of the various samples to reduce NO to N₂ is shown. The samples were exposed to a stream of 0.1% NO, 0.1% C₃H₆, 9% O₂, 7% H₂O, the balance being He. In Fig. 6, reference number 80 represents a non-doped Y-alumina prepared by the sol gel method; reference 82 represents a 1 weight percent indium doped Y-alumina; reference 84 represents a 2.5 weight percent indium doped Y-alumina; reference number 86 represents a 5 weight percent indium doped Y-alumina; and reference 88 represents a 10 weight percent indium doped Y-alumina.

In Fig. 7 the ability of the various samples to reduce NO₂ to N₂ is shown. The samples were exposed to a stream of 0.1% NO₂, 0.1% C₃H₆, 9% O₂, 7% H₂O, the balance being He. In Fig. 7, reference number 90 represents the non-metal doped Y-alumina; reference number 92 represents the 1 weight percent indium doped Y-alumina; reference line 94 represents the 2.5 weight percent indium doped Y-alumina; reference 96 represents the 5 weight percent indium doped Y-alumina; and reference 98 represents the 10 weight percent indium doped Y-alumina.

It can be seen from Figs. 6 and 7 that maximal activity was achieved with an indium level of approximately 2.5 weight percent. Furthermore, it can be seen that the indium doped Y-alumina catalyst

showed a lower T_{max} and exhibited a broader temperature window than the pure Y-alumina itself.

In Figs. 8 and 9, a similar comparison was done for tin doped Y-alumina prepared according to the present invention. In Fig. 8, reference lines 100-112 represent, respectively, Y-alumina; 1 weight percent tin doped Y-alumina; 2.5 weight percent tin doped Y-alumina; 5 weight percent tin doped Y-alumina; 10 weight percent tin doped Y-alumina; 15 weight percent tin doped Y-alumina; and 20 weight percent tin doped Y-alumina. In Fig. 9, reference numbers 114-126 represent, respectively, Y-alumina; 1 weight percent tin doped Y-alumina; 2.5 weight percent tin doped Y-alumina; 5 weight percent tin doped Y-alumina; 10 weight percent tin doped Y-alumina; 15 weight percent tin doped Y-alumina; and 20 weight percent tin doped Y-alumina. In Fig. 8 the ability of the catalysts to reduce NO to N_2 is shown. In Fig. 9 the ability of the catalysts to reduce NO_2 to N_2 is shown. The results demonstrate that all of the tin doped Y-alumina catalysts show a lower T_{max} and broader temperature window when compared to pure Y-alumina. The best performing tin doped Y-alumina was at approximately 10 weight percent.

In Figs. 10 and 11, a similar comparison was done for gallium doped Y-alumina prepared according to the present invention. Fig. 10 represents the reduction of NO to N_2 while Fig. 11 represents the reduction of NO_2 to N_2 . In Fig. 10, reference numbers

128-140 represent, respectively, non-metal doped Y-alumina; 1 weight percent gallium doped Y-alumina; 2.5 weight percent gallium doped Y-alumina; 6 weight percent gallium doped Y-alumina; 10 weight percent gallium doped Y-alumina; 20 weight percent gallium doped Y-alumina; and 24 weight percent gallium doped Y-alumina. In Fig. 11 reference numbers 142-154 represent, respectively, non-metal doped Y-alumina; 1 weight percent gallium doped Y-alumina; 2.5 weight percent gallium doped Y-alumina; 6 weight percent gallium doped Y-alumina; 10 weight percent gallium doped Y-alumina; 20 weight percent gallium doped Y-alumina; and 24 weight percent gallium doped Y-alumina. In addition to the samples shown in Figs. 10 and 11, a 50 weight percent gallium doped Y-alumina sample has been prepared. 50 weight percent gallium doped Y-alumina shows the highest activity for NO or NO₂ reduction. All of the gallium doped Y-alumina catalysts show better activity than the Y-alumina catalyst alone and show a wider temperature window. All of the metal doped catalysts showed more NO_x reduction when NO was replaced with NO₂ making these good catalysts for a PAC system.

25 The performance of a PAC system for lean NO_x
 reaction

 To assess the impact of a non-thermal plasma on metal doped Y-alumina catalysts prepared according to the present invention, a simulated exhaust system was designed by coupling a non-thermal plasma in a

30

tube to a catalytic unit in a standard two-stage setup to form a PAC system. The catalytic unit comprised a quartz tube that was packed with catalyst powder. The catalyst is held in place with quartz wool and fritted quartz disks. A 1 L/min gas flow was used in combination with 1 gram of catalyst powder. This results in a space velocity of $30,000 \text{ hr}^{-1}$ depending on the powder used. The simulated oxygen rich exhaust was composed of 500 ppm NO, 300 ppm CO, 8% CO_2 , 1.5% H_2O , 9% O_2 , 2000 ppm propene, plus or minus 20 ppm SO_2 , and the balance N_2 .

In Fig. 12 the data were obtained using a 2.5 weight % indium doped Y-alumina prepared by the sol gel method are shown. In Fig. 12 the Y-axis is the percentage of the NO in the simulated exhaust that was converted to N_2 and the X-axis is the energy deposited by the non-thermal plasma. The results are shown for with or without SO_2 at temperatures of 200°C , 350°C , and 500°C . Reference lines 172 and 174 represent with and without SO_2 at 200°C , respectively. Reference lines 176 and 178 represent with and without SO_2 at 350°C , respectively. Reference lines 180 and 182 represent with and without SO_2 at 500°C , respectively. The catalytic activity of the indium doped Y-alumina was reduced by the presence of the SO_2 . At temperatures near that of normal exhaust, between 350°C and 500°C , the conversion by the indium doped Y-alumina was very high with or without SO_2 . At a temperature of 500°C the conversion in the absence of SO_2 was 90% while the conversion in the presence of SO_2 was 80%. Even in the presence of SO_2 the conversion

was very high compared to other presently known lean NO_x catalyst systems.

In addition to the metals that have been disclosed above for doping of the Y-alumina prepared
5 by the sol gel method, other metals that could be utilized include silver, gold, copper, cobalt, iron, and cerium. In practicing the present invention the Y-alumina preferably has a pore volume of from about 1.0 to about 2.0 cubic centimeters per gram, a surface
10 area of greater than or equal to 200 meters squared per gram, and a sulfate level of less than or equal to 0.1%. In a preferred embodiment the Y-alumina is prepared by a sol gel method.

Of course, various modifications of this
15 invention would come within the scope of the invention.

Industrial Applicability

The present invention is utilized for the
20 treatment of oxygen rich exhaust. In one use, the present invention is utilized to remove nitrogen oxides from diesel engine exhaust. In a first step the diesel engine exhaust is passed through a non-thermal plasma generated by conventional means. Prior
25 to passing through the non-thermal plasma preferably the diesel exhaust is supplemented with additional hydrocarbons, which can comprise diesel fuel itself. In this first step, up to 95% of the nitrogen oxide in the oxygen rich exhaust is converted into nitrogen
30 dioxide and the hydrocarbons are oxidized thereby forming an intermediate exhaust rich in nitrogen dioxide. The intermediate exhaust is passed over at

least one metal doped Y-alumina wherein the Y-alumina has a pore size of greater than or equal to 1.0 cubic centimeter per gram. The metal doped Y-alumina converts the nitrogen dioxide to N_2 . The present
5 invention finds utilization as a method for treating any oxygen rich exhaust such as diesel or lean burn gasoline engine exhaust.

Other aspects, objects and advantages of this invention can be obtained from a study of the
10 drawings, the disclosure and the appended claims.

Claims

1. A method for nitrogen oxide (NO_x) reduction in an oxygen rich engine exhaust comprising
5 the steps of:

a) passing an oxygen rich exhaust through a non-thermal plasma thereby converting nitrogen oxide (NO) and hydrocarbons in the oxygen rich exhaust into nitrogen dioxide (NO_2) and oxidized hydrocarbons and
10 forming an intermediate exhaust;

b) passing the intermediate exhaust over at least one metal doped Y-alumina, wherein the Y-alumina has a pore volume of at least 1.0 cubic centimeter pre gram, thereby converting the NO_2 to
15 nitrogen (N_2).

2. A method as claimed in Claim 1, wherein step a) comprises the further step of injecting an amount of at least one atomized hydrocarbon into the
20 oxygen rich exhaust prior to passing the oxygen rich exhaust through the non-thermal plasma.

3. A method as recited in Claim 1, wherein step a) further comprises passing an oxygen rich
25 exhaust having an oxygen content of equal to or greater than 4% through the non-thermal plasma.

4. A method as recited in Claim 1, wherein step b) further comprises a step of selecting the
30 metal for doping of the Y-alumina from the group consisting of indium, tin, gallium, silver, gold, copper, cobalt, iron, cerium, and mixtures thereof.

5. A method as recited in Claim 1, wherein
step b) comprises a further step of doping the Y-
alumina with the metal by incorporating the metal into
water used for a gelation step as part of a sol gel
5 method for formation of the Y-alumina.

6. A method as recited in Claim 1, wherein
step b) comprises a further step of doping the Y-
alumina with the metal by bringing the Y-alumina into
10 contact with a solution of the metal wherein the
solution of the metal is present in an amount equal to
or greater than the total pore volume of the Y-
alumina.

7. A method as recited in Claim 1, wherein
step b) comprises a further step of doping the Y-
alumina with from about 1 weight percent to about 10
weight percent indium.

8. A method as recited in Claim 1, wherein
step b) comprises a further step of doping the Y-
alumina with from about 1 weight percent to about 20
weight percent tin.

9. A method as recited in Claim 1, wherein
step b) comprises a further step of doping the Y-
alumina with from about 1 weight percent to about 55
weight percent gallium.

10. A method as recited in Claim 1, wherein step b) comprises a further step of preparing the Y-alumina by a sol gel method.

5 11. A method as recited in Claim 1, wherein step a) further comprises a step of passing a diesel exhaust through the non-thermal plasma thereby converting the nitrogen oxide (NO) and hydrocarbons in the diesel exhaust into nitrogen dioxide (NO₂) and
10 oxidized hydrocarbons and forming an intermediate exhaust

12. An exhaust treatment system for nitrogen oxide reduction in lean burn engines
15 comprising:

a non-thermal plasma in an exhaust system that is adapted to receive an oxygen rich exhaust, said non-thermal plasma converting the nitrogen oxide (NO) and hydrocarbons in said oxygen rich exhaust into
20 nitrogen dioxide (NO₂) and oxidized hydrocarbons;

a catalytic unit operably connected to said non-thermal plasma and adapted to receive said oxygen rich exhaust after said non-thermal plasma, said catalytic unit comprising at least one metal doped Y-
25 alumina, wherein said Y-alumina has a pore volume of at least 1.0 cubic centimeters per gram and said metal comprises indium, tin, gallium, silver, gold, copper, cobalt, iron, cerium, or mixtures thereof.

30 13. A system as recited in Claim 12, wherein said Y-alumina is doped with from about 1 weight percent to about 10 weight percent indium.

14. A system as recited in Claim 12,
wherein said Y-alumina is doped with from about 1
weight percent to about 20 weight percent tin.

5 15. A system as recited in Claim 12,
wherein said Y-alumina is doped with from about 1
weight percent to about 55 weight percent gallium.

16. A system as recited in Claim 12,
10 wherein said Y-alumina has a pore volume of from 1.0
to 2.0 cubic centimeters per gram.

17. A system as recited in Claim 12,
wherein said Y-alumina has a sulfate content of less
15 than or equal to 0.1 weight percent.

18. A system as recited in Claim 12,
wherein said Y-alumina is doped with a metal
comprising either 2.5 weight percent indium, 10 weight
20 percent tin, 50 weight percent gallium, or mixtures
comprising indium, tin, and gallium.

19. A system as recited in Claim 12,
wherein said Y-alumina has a surface area of at least
25 200 meters squared per gram.

20. A system as recited in Claim 12,
wherein said Y-alumina is doped with mixtures of
metals comprising indium, tin, and gallium.

Abstract of the Disclosure

METHOD AND SYSTEM FOR THE COMBINATION OF NON-THERMAL
PLASMA AND METAL/METAL OXIDE DOPED Y-ALUMINA CATALYSTS
5 FOR DIESEL ENGINE EXHAUST AFTERTREATMENT SYSTEM

The present disclosure pertains to a system and method for treatment of oxygen rich exhaust and more specifically to a method and system that combines
10 non-thermal plasma with a metal doped Y-alumina catalyst. Current catalyst systems for the treatment of oxygen rich exhaust are capable of achieving only approximately 7 to 12% NO_x reduction as a passive system and only 25-40% reduction when a supplemental
15 hydrocarbon reductant is injected into the exhaust stream. It has been found that treatment of an oxygen rich exhaust initially with a non-thermal plasma and followed by subsequent treatment with a metal doped Y-alumina prepared by the sol gel method is capable of
20 increasing the NO_x reduction to a level of approximately 90% in the absence of SO₂ and 80% in the presence of 20 ppm of SO₂. Especially useful metals have been found to be indium, gallium, and tin.

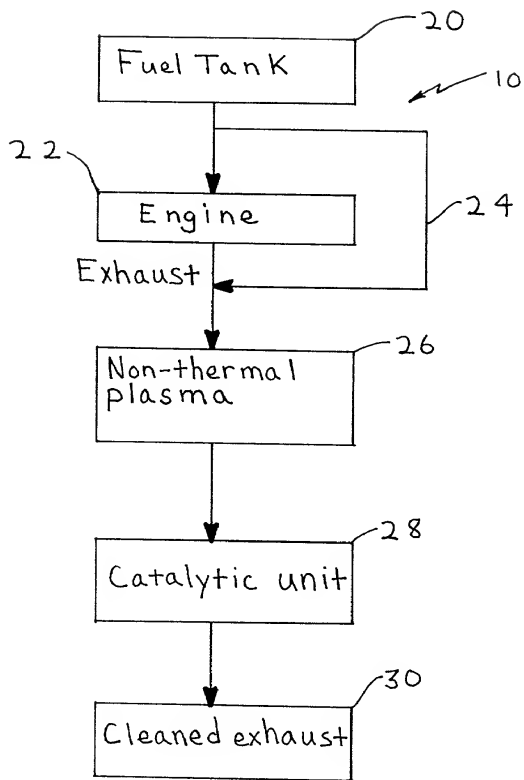


Fig.1

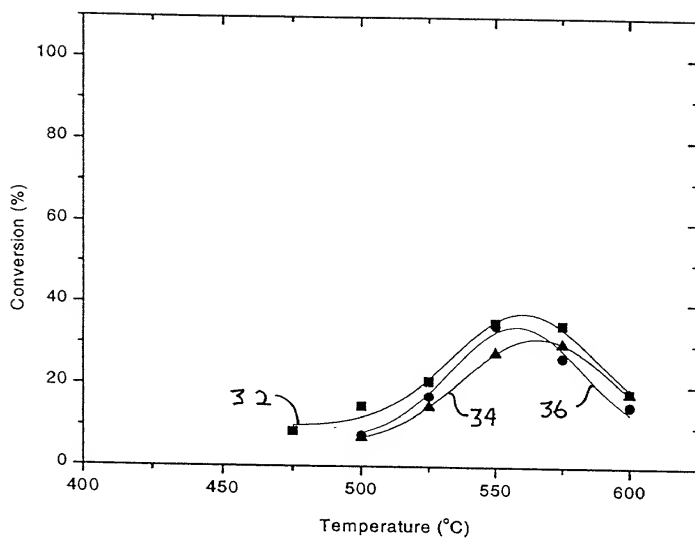


Fig. 2

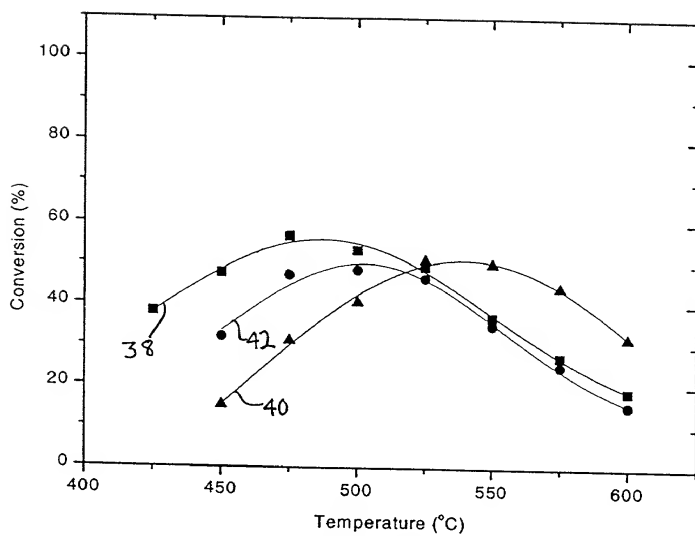


Fig. 3

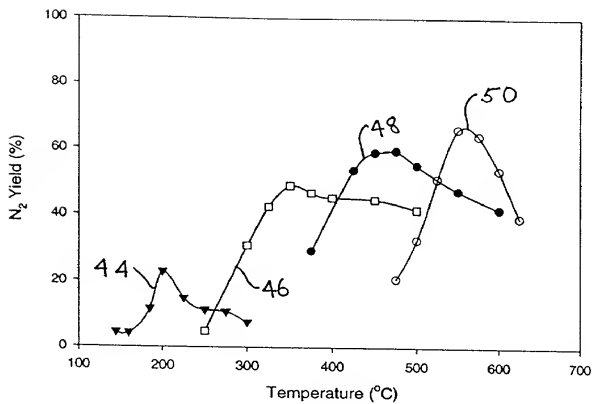


Fig. 4

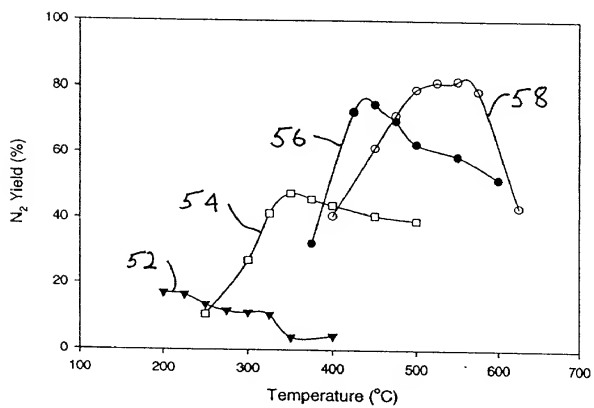


Fig. 5

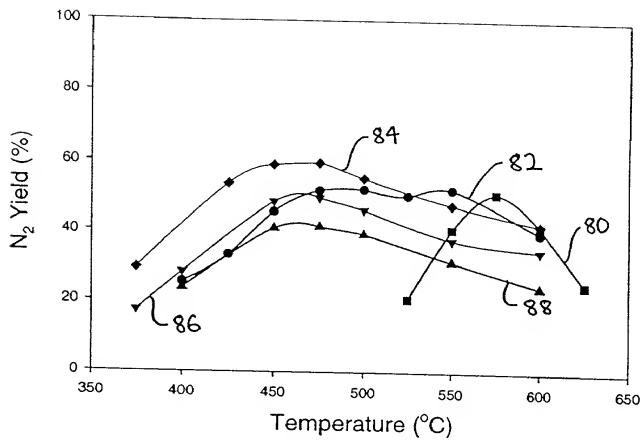


Fig. 6

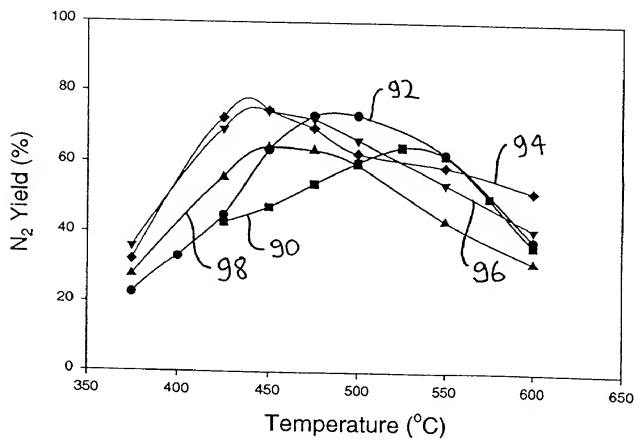


Fig. 7

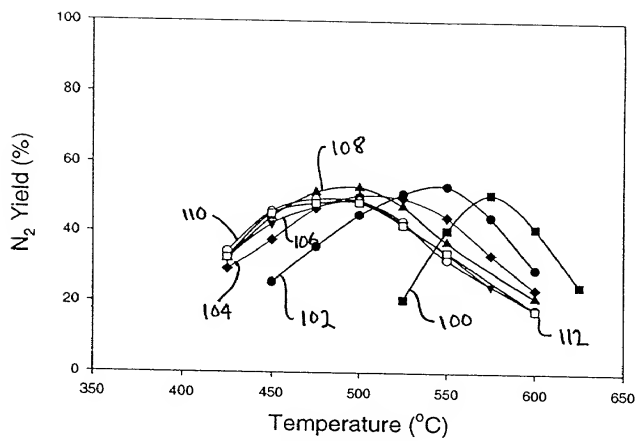


Fig. 8

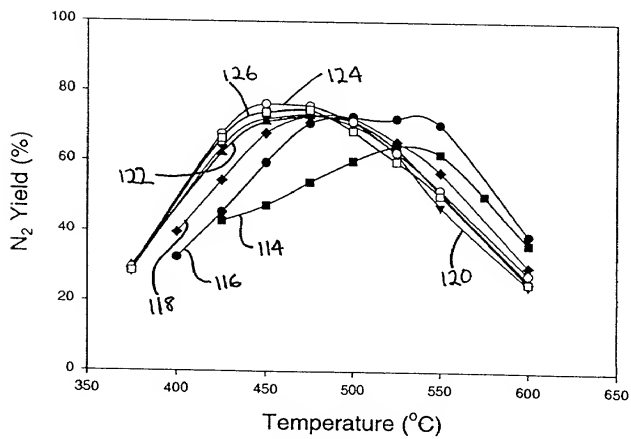


Fig. 9

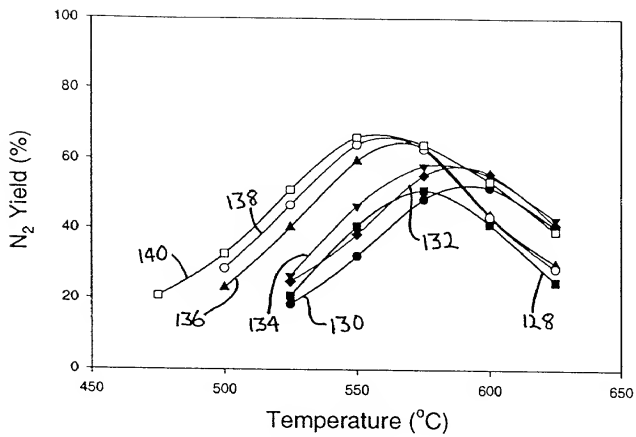


Fig. 10

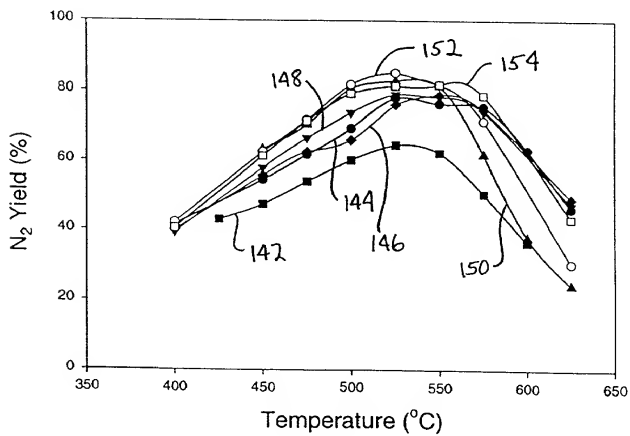


Fig. 11

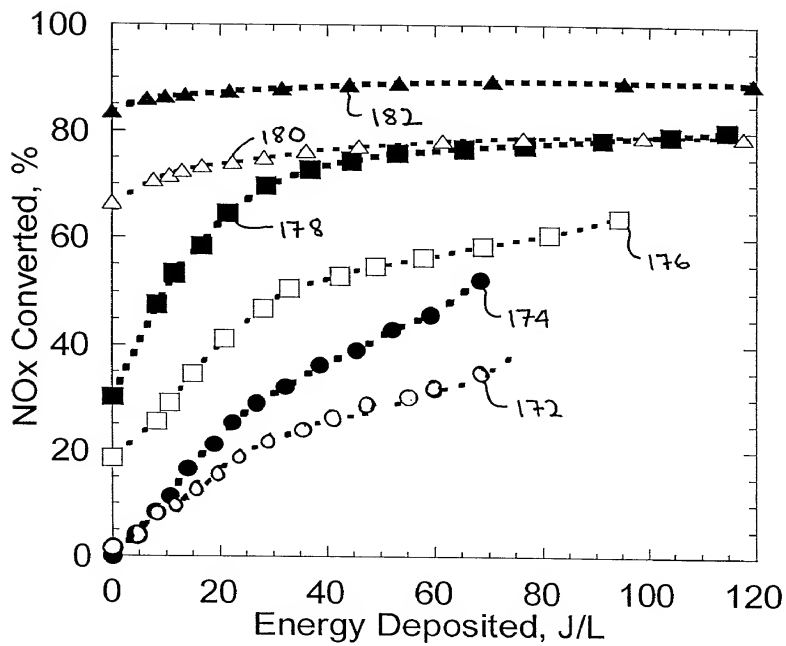


Fig. 12